Attorney Docket No. A-68678 Dorsey File No. 467766-00093

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

ALLAN et al.

Serial No .:

09/653,767

Filing Date:

September 1, 2000

Frames-Based Advertising Service

with Response and Activity Reporting

Examiner:

Ouellette, Jonathan P.

Art Unit:

3629

CERTIFICATE OF MAILING

I hereby certify that this correspondence, including listed enclosures, is being deposited with sufficient postage with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. BOX 1450, Alexandria, VA 22313-1450 on :

July 26, 2004

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

In satisfaction of the duty of disclosure under 37 C.F.R. § 1.56, and in accordance with the provisions of 37 C.F.R. §§ 1.97 and 1.98, Applicants wish to draw the attention of the U.S. Patent and Trademark Office to the references cited on the accompanying substitute for Form PTO/SB/8A-B, Substitute for Form PTO-1449. Copies of the references are enclosed.

None of the foregoing references is believed to disclose the invention as claimed. Nothing herein shall constitute an admission concerning the contents of any of the cited references, nor shall the inclusion of a reference herein be considered an admission that the reference constitutes prior art against the invention claimed in the above-identified application. Submission of the present document shall not be construed as an admission that a search has

been made or that better art does not exist.

07/28/2004 HLE333 00000023 09653767

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USSN:

09/653,767

Filing Date:

September 1, 2000

Pursuant to 37 C.F.R. § 1.97(c), enclosed is a check in the amount of \$180.00 as set forth in 37 C.F.R. § 1.17(p). While no further fee is believed to be due, if this belief is in error, the Commissioner is authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-2319 (Our Order No. 467766-00093 (A-68678)).

Please direct any calls in connection with this application to the undersigned at (650) 494-8700.

Respectfully submitted,
DORSEY & WHITNEY LLP

Dated: July 26, 2004

Dorsey & Whitney LLP

Intellectual Property Department

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BY:

R. Michael Ananian, Reg. No. 35,050 Filed under 37 C.F.R. § 1.34(a)

Customer Number: 32940

Attachments:

Check in the amount of \$180.00 Form PTO/SB/8A-B, Substitute for Form PTO-1449 Forty-nine (49) references

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ÍNFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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-	Complete if Known	
Application Number	09/653,767	
Filing Date	September 1, 2000	
First Named Inventor	Scott T. ALLAN	
Art Unit	3629	
Examiner Name	Ouellette, Jonathan P. JUL 3 0 20)4
Attorney Docket Number	A-68678 (467766-00093)	CA

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	U.S. Patent Document Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	5,025,372	06-18-1991	Burton et al.	
	A2	5,120,906	06-09-1992	Protheroe et al.	
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	A35		05-22-2003	Paschini	

Examiner	Date Considered	
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the complete application form to the USPTO. Time will vary depending on the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Complete if Known 09/653,767 Application Number September 1, 2000 Filing Date 3 0 2004 First Named Inventor Scott T. ALLAN 3629 Art Unit Ouellette, Jonathan P. **Examiner Name** A-68678 (467766-00093) Attorney Docket Number

		F	OREIGN PATEN	DOCUMENTS		
Examiner Initials*	Cite No.	Foreign Patent Document Country Code ² Number ⁴ Kind Code ⁵ (<i>if</i> <i>known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т ⁶
	B1	EP 0 474 360 A2	03-11-1992	Visa International Service Assoc		<u> </u>
	B2	EP 1 195 706 A2	04-10-2002	NCR International, Inc.		
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	Complete if Known
Application Number	09/653,767
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First Named Inventor	Scott T. ALLAN JUL 3 0 2004
Art Unit	3629
Examiner Name	Ouellette, Jonathan RATIOUT OOU
Attorney Docket Number	A-68678 (467766-00093)

	NON PATENT LITERATURE DOCUMENTS	
Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ₆
C1 /	Anonymous, "@pos.com Unveils Internet Initiative for Web-Enabling Retail Point-of-Sale", PR Newswire, June 29, 1999, 4 pages.	
C2 /	Anonymous, "Tech Trends", Progressive Grocer, May 2000. (ABSTRACT)	
C3 /	BANK, David "Electronic Commerce Debuts on Internet", Austin American Statesman, April 12, 1994, page E1.	
C4	BURKE, R., "NCR: Internet Leaders Unite to Define Next Wave in E-Commerce", M2 Presswire, January 20, 2000. (ABSTRACT)	
C5 /	CLARK, "A good idea on paper", Chain Store Age, March 2000. (ABSTRACT)	
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C7 /	GAGE, Deborah, "Sun: JavaWallet in pocket – Electronic wallet can organize, display transactions, credit data", Computer Reseller News, August 26, 1996, page 74.	
C8 .	GORADIA et al., "NetBill 1994 Prototype", Carnegie Mellon University, 1994, 91 pages.	
C9	SLIWA, C., "E-receipts on the retail front", Computerworld, January 24, 2000. (ABSTRACT)	
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C11	STOCK, H., "Not All Are Sold on Web Point of Sale Terminals", American Banker, March 28, 2000. (ABSTRACT)	
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	No.' C1 // C2 // C3 // C4 C5 // C6 // C7 // C8 // C9 C10	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. C1 / Anonymous, "@pos.com Unveils Internet Initiative for Web-Enabling Retail Point-of-Sale", PR Newswire, June 29, 1999, 4 pages. C2 / Anonymous, "Tech Trends", <i>Progressive Grocer</i> , May 2000. (ABSTRACT) C3 / BANK, David "Electronic Commerce Debuts on Internet", Austin American Statesman, April 12, 1994, page E1. C4 / BURKE, R., "NCR: Internet Leaders Unite to Define Next Wave in E-Commerce", <i>M2 Presswire</i> , January 20, 2000. (ABSTRACT) C5 / CLARK, "A good idea on paper", <i>Chain Store Age</i> , March 2000. (ABSTRACT) C6 / FERGUSON, O., "NCR Lobbies for Digital Receipts", <i>Computer Dealer News</i> , April 21, 2000. (ABSTRACT) C7 / GAGE, Deborah, "Sun: JavaWallet in pocket — Electronic wallet can organize, display transactions, credit data", Computer Reseller News, August 26, 1996, page 74. C8 / GORADIA et al., "NetBill 1994 Prototype", Carnegie Mellon University, 1994, 91 pages. C9 / SLIWA, C., "E-receipts on the retail front", <i>Computerworld</i> , January 24, 2000. (ABSTRACT) C10 / SPORICH, B., "Digital receipts could link retail stores, e-commerce", <i>Video Store Magazine</i> , January-February 2000.

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Signature	Consucred

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